

Service Charges for Securities Services

Types of Charges	Min. Charges (HK\$)	Remarks
Charges to Broker, Government, Stock Exchange		
Brokerage (0.25% on transaction value)	\$ 100	
Contract Stamp (\$1 / \$1,000 transaction value)		a. Collected by Government b. Round up to the nearest \$1
Transaction Levy (0.004% on transaction value)		
Trading Fee (0.005% on transaction value)		
Transfer Deed Stamp Duty (\$5 / transfer deed)		Paid by seller
Bank Charges		
Registration and Transfer Fee -Have dividends paid (\$3 / lot)	\$ 3	1) Collected on the net increase in each stock holding from the last book close date 2) Collected on dividend payable date
Semi-annual Custody Fee (\$0.15 / lot, max. charge \$2,500)	\$ 100	Collected on stock balance as at 31/5 and 30/11
Cash Dividend Collection Charge (0.5% on dividend amount, max. charge \$2,500)	\$ 20	
Corporate Action Charge (\$2 / lot, max. charge \$500)	\$ 30	Apply to receipt of bonus shares, collection of script dividend, conversion of shares, subdivision or consolidation of shares, exercise of warrants, etc.
Physical Shares Deposit and Withdraw (\$5 / lot) S.I. through CCASS (\$30 / transaction)	\$ 30	
Subscription of New Issues (\$100 / application)		Apply under the name of Shanghai Commercial Bank (Nominees) Ltd.
Handling fee for retention of Securities Account Document (\$500 per annum)		
Real-time Stock Quote Service for Internet Trading Account (\$288 / month)		The monthly charge will be waived if current monthly turnover of Internet Trading accumulates HK\$300,000 or above
Real-time Snap Shot Quote Service for Internet Trading Account (First 300 free real-time quotes for current month. Extra hits after free quotes will be charges for \$0.1 each)	\$ 10	
Charges for US Securities Listed in Hong Kong		
Brokerage (0.15% on transaction value)	\$ 100	
Withdrawal Fee for Physical Script (DTC charges per request and out-of-pocket expenses)	\$ 600	
Cross Border Transfer Fee for Receipt / Delivery of Foreign Stocks (DTC charges per request and out-of-pocket expenses)	\$ 200	
Handling Fee for Warrant Conversions, Voluntary Takeovers, Tender Offers, or Open Offers (\$600 per instruction plus out-of-pocket expenses incurred by HKSCC)		
Charge for Equity Linked Notes		
Brokerage (0.3% on transaction value)		

Service Charges for Debt Securities Services

Types of Charges	Charges (HK\$)	Remarks
Semi-annual Custody Fee (per type of debt securities)	\$100	Collected on debt securities balance as at 31/5 and 30/11
Interest Collection Charge	0.5% on interest amount	min. \$ 100 max. \$ 2,500

Service Charges for Loan & Overdraft Accounts

Types of Services	Charges per unit (HK\$)
Photocopy of Title Deeds (\$10 Per page)	min. \$ 100 max. \$ 200
Photocopy of Floor Plan of Mortgaged Property	\$ 50
Issuing Financial Reference Letter / Loan Account Confirmation Letter	\$ 200
Issuing Confirmation of Loan Outstanding Balance	\$ 100
Issuing Annual Statement of Loan Account (for tax return)	\$ 100
Issuing Duplicate of Loan Advice	\$ 40
Application for Amendment of Loan Repayment Method / Instalment Amount / Terms	\$ 200