



◆ Service Charges for Securities Services ◆

Types of Charges	Min. Charges (HK\$)	Remarks
Charges to Broker, Government, Stock Exchange		
Brokerage (0.25% on transaction value)	\$ 100	
Contract Stamp (\$1 / \$1,000 transaction value)		a. Collected by Government b. Round up to the nearest \$1
Transaction Levy (0.003% on transaction value)		
Trading Fee (0.005% on transaction value)		
Transfer Deed Stamp Duty (\$5 / transfer deed)		Paid by seller
Bank Charges		
Registration and Transfer Fee -Have dividends paid (\$3 / lot)	\$ 3	1) Collected on the net increase in each stock holding from the last book close date 2) Collected on dividend payable date
Semi-annual Custody Fee (\$0.15 / lot, max. charge \$2,500)	\$ 100	Collected on stock balance as at 31/5 and 30/11
Cash Benefit Collection Charge (0.5% on the amount of cash received, max. charge \$2,500)	\$ 20	Apply to receipt of cash dividend, exercise of warrant or callable bull / bear contract, cash offer, privatization, etc.
Corporate Action Charge (\$2 / lot, max. charge \$500)	\$ 30	Apply to receipt of bonus shares, collection of script dividend, conversion of shares, subdivision or consolidation of shares, exercise of warrants, etc.
Physical Shares Deposit and Withdraw (\$5 / lot) S.I. through CCASS (\$30 / transaction)	\$ 30	
Subscription of New Issues (\$100 / application)		Apply under the name of Shanghai Commercial Bank (Nominees) Ltd.
Written Confirmation of Securities Account Balance (\$100 per item)		
Photocopy / Re-issuance of Securities Account Statement / Transaction Advice / other Bank Documents (\$50 per page)		
Real-time Stock Quote Service for Internet Trading Account (\$288 / month)		The monthly charge will be waived if current monthly turnover of Internet Trading accumulates HK\$300,000 or above
Real-time Snap Shot Quote Service for Internet Trading Account (First 300 free real-time quotes for current month. Extra hits after free quotes will be charges for \$0.1 each)	\$ 10	
Charges for US Securities Listed in Hong Kong		
Brokerage (0.15% on transaction value)	\$ 100	
Withdrawal Fee for Physical Script (DTC charges per request and out-of-pocket expenses)	\$ 600	
Cross Border Transfer Fee for Receipt / Delivery of Foreign Stocks (DTC charges per request and out-of-pocket expenses)	\$ 200	
Handling Fee for Warrant Conversions, Voluntary Takeovers, Tender Offers, or Open Offers (\$600 per instruction plus out-of-pocket expenses incurred by HKSCC)		
Charge for Equity Linked Notes		
Brokerage (0.3% on transaction value)		

◆ Service Charges for Debt Securities Services ◆

Types of Charges	Charges (HK\$)	Remarks
Semi-annual Custodian Fee	Min. \$ 100 Max. \$ 2,500	0.015% based on the notional balance as at 30/6 and 31/12
Interest Collection Charge	Min. \$ 100 Max. \$ 2,500	0.5% on interest amount

◆ Service Charges for Loan & Overdraft Accounts ◆

Types of Services	Charges per unit (HK\$)
Photocopy of Title Deeds / other Documents	\$ 200 per copy of the deed \$ 50 per page of other documents
Photocopy of Floor Plan of Mortgaged Property	\$ 50
Issuance of Financial Reference Letter / Loan Account Confirmation Letter	\$ 300 per letter
Issuance of Confirmation of Loan Outstanding Balance	\$ 100
Issuance of Annual Statement of Loan Account (for tax return)	\$ 100
Issuance of Duplicate of Loan Advice	\$ 50 per page
Application for Amendment of Loan Repayment Method / Instalment Amount / Terms	\$ 1,000
Late Payment Charge (on the overdue amount)	P+4%p.a. (min. charge \$100)
Custody of Title Deeds (after full repayment)	\$ 2,000 per annum
Lease Consent Letter on Charged Property	\$ 500 per property
Review of Fire Insurance Policy on Charged Property not arranged by our Bank (except for master policy)	\$ 200 per policy per annum