

Securities Account Services

U.S. Securities Trade Service's Commission & Fees

Type of Services	US\$ / HK\$	Unit
Trade Related Services		
Brokerage ¹	0.30%	Transaction value above US\$1,000,000 per transaction
	0.40%	Transaction value between US\$1,000,000 and US\$500,000 per transaction
	0.50%	Transaction value below US\$500,000 per transaction
min.	US\$50	
Custodian Service		
Custody Fee	Waived	
Transfer of Entitlement		
Stock deposit through Depository Trusted Company	US\$10	per stock
Stock withdrawal through Depository Trusted Company	US\$150	per stock
Nominee Services & Corporate Actions		
Agent Charges	US\$2	per stock per entitlement
Cash Benefit Collection Charge	0.50%	on the amount of cash received
min.	HK\$20 ²	
max.	HK\$2,500 ²	
Receipt of Bonus shares	US\$2	per stock per entitlement
Collection of scrip dividend	US\$2	per stock per entitlement
Voluntary Corporation Action Fee	US\$20	per stock per application
Out-of-pocket Expense³		
Dividend withholding tax	30%	on the amount of cash received
American depository receipt(ADR) Depository Fee through ADR depository Agent	US\$0.02	per stock per annum

Remarks:

1. The brokerage includes the SEC fee charged by the United States Securities and Exchange Commission.
2. The charge for the item of Cash Benefit Collection Charge will be deducted in the dividend's original currency. For case subject to minimum or maximum charge, Shanghai Commercial Bank Limited (the "Bank") will collect the amount in USD equivalent. The exchange rate will be determined by the Bank.
3. Customer may have to pay additional fees, charges or taxes applied to the custody, transfer, nominee and corporate action services offered by the Bank charged by the third parties such as the government, oversea organisation, service provider, etc. Notice may not be available in advance for fees updated by the third parties and the Bank will collect the fees from customer according to the latest charges.

Other Remarks:

- i. The information in this document is for customer's reference in relation to the charges for U.S. Securities Trading Services offered by the Bank. The Bank reserves the right to revise any fees and charges to be provided from time by time by notice. For fees and charges not listed in this document, please contact the Bank for details.
- ii. In addition to paying the Bank the above-listed charge for handling corporate action instruction on behalf of customer, customer may have to pay other fees or charges in relation to the corporate action. Such fee and charge (if any) will be collected separately and will not be deducted from the above-listed handling charge paid to the Bank.
- iii. In the event of any discrepancy between the English and Chinese versions, the English shall prevail.