

30 November 2015

Dear Valued Customer,

Re: Notice of Amendments to "Terms and Conditions for Securities Services"

Thank you for choosing the Shanghai Commercial Bank ("the Bank") securities services.

Effective from 1 January 2016, the following provision relating to the Contracts (Rights of Third Parties) Ordinance will be added under the new Clause 13.18 of Part 1 of "Terms and Conditions for Securities Services" ("the T&C"), whereas the original Clause 13.18 will be renumbered as Clause 13.19 accordingly:

Part 1 – General Terms and Conditions

13.18 Contracts (Rights of Third Parties) Ordinance: A person who is not a party to this Master Agreement shall have no rights under the Contracts (Rights of Third Parties) Ordinance, Cap.623 of the Laws of Hong Kong to enforce or enjoy the benefit of any terms of this Master Agreement. The consent of any person who is not a party to this Master Agreement is not required to rescind or vary this Master Agreement.

In addition, the following amendments to Part 1 of the T&C shall also take effect from 1 January 2016:

- (a) the words "for Securities Services" shall be inserted immediately after the words "Terms and Conditions" as appeared in the definition of "Master Agreement" in Clause 1.1;
- (b) the words "Clause 13.12" as appeared in Clause 5.15(b)(iii) shall be deleted and replaced by the words "Clause 13.13"; and
- (c) the words "Clause 13.13(a)" as appeared in Clause 13.14(c) shall be deleted and replaced by the words "Clause 13.14(a)".

Please browse our website www.shacomsecurities.com.hk or visit any of our branches to obtain a copy of the relevant T&C. Please note that the above amendments shall be binding on you if you continue to use or retain your account(s) after the amendments are effective. If you do not accept the above amendments, we may not be able to continue providing services to you and you can notify the Bank for termination of accounts or services before the above amendments are effective.

Should you have any enquiries, please call our Customer Service Hotline on 2818 0282 or visit any of our branches.

Yours faithfully,
Shanghai Commercial Bank Limited

This is a computer-generated printout and no signature is required. In case of inconsistency between the English and Chinese versions of this letter, the English version shall prevail.

親愛的客戶：

有關：《證券服務章則及條款》修訂通知

多謝 閣下選用上海商業銀行(「本行」)證券服務。

由 2016 年 1 月 1 日起，本行《證券服務章則及條款》(「該章則及條款」)第 1 部份一般章則及條款將加入下列有關《合約(第三者權利)條例》之第 13.18 條新條文，而原有的第 13.18 條將重新編為第 13.19 條。

第 1 部份：一般章則及條款

13.18 《合約(第三者權利)條例》：任何人士如非本主協議一方將不可藉香港法例第 623 章《合約(第三者權利)條例》取得強制執行或享有本主協議中任何條款利益的權利。撤銷或更改本主協議無須獲得非本主協議一方的任何人士之同意。

此外，就該章則及條款第 1 部份內下述之修訂亦將於 2016 年 1 月 1 日起生效：

- (a) 在第 1.1 條就「主協議」的定義中，在「章則及條款」字句前加入「證券服務」；
- (b) 在第 5.15(b)(iii)條中，刪除「第 13.12 條」並改為「第 13.13 條」；及
- (c) 在第 13.14(c)條中，刪除「第 13.13(a)條」並改為「第 13.14(a)條」。

閣下可瀏覽本行網頁 www.shacomsecurities.com.hk 或親臨任何一間分行索取有關該章則及條款。謹請注意，倘閣下在上述修訂生效日或其後繼續使用及／或持有有關戶口，上述修訂即對閣下具有約束力。倘上述修訂不獲接納，本行或會無法繼續為閣下提供服務，閣下可於上述生效日期前通知本行終止帳戶或服務。

倘有任何疑問，請致電客戶服務熱線 2818 0282 或親臨本行任何一間分行查詢。

上海商業銀行有限公司 謹啟
2015 年 11 月 30 日

本函為電腦編印文件，毋須簽署。此為中文譯本，如與英文版本有歧異，一概以英文版本為準。